



Attorney Docket No. E1067/20019  
Customer No. 03000

GAU-1653

Box-Seq.

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT EXAMINING OPERATION

Applicant : Daniel Joseph O'Mahony

Filed : November 19, 1999

Serial No. : 09/443,986

For : RETRO-INVERSION PEPTIDES THAT  
TARGET GIT TRANSPORT RECEPTORS AND  
RELATED METHODS

Group Art Unit : 1653

Examiner : P. Robinson

Customer No. : 03000

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MAY 21 2001

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REPLY  
REGARDING SEQUENCE LISTING

Hon. Commissioner of Patents  
and Trademarks  
Washington, DC 20231

Sir:

This submission is in response to the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures, dated April 16, 2001 for the above patent application.

In accordance with the requirement of Box 5 of the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence And/Or Amino Acid Sequence Disclosures regarding the submission of sequence, Applicant submits the following:

1. Substitute Computer Readable Disk containing Sequence Listings;
2. Substitute Paper copy of Sequence Listing;
3. Verified Statement regarding Substitute Sequence Listing (see p. 3 of this document); and
4. Copy of Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence And/Or Amino Acid Sequence Disclosures.

Accordingly, it is respectfully submitted that Applicant has now complied with all relevant Sequence Listing requirements.

If the entry of any of the foregoing requires a fee, or if an extension of time is required, then the PTO is authorized to charge the fee to deposit account 03-0075. A duplicate copy of this paper is enclosed.

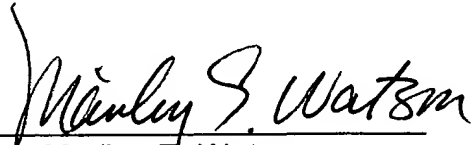
VERIFIED STATEMENT REGARDING SEQUENCE LISTING

I hereby verify under 37 CFR § 1.821(f) that the substitute paper copy and substitute computer readable copy of the sequence listings of the sequences submitted herewith are the same and that no new matter is introduced.

CAESAR, RIVISE, BERNSTEIN,  
COHEN & POKOTILOW, LTD.

May 15, 2001

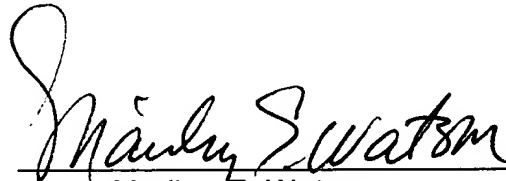
By



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CERTIFICATE OF MAILING

I hereby certify that the foregoing Reply in duplicate, Substitute Computer Disk containing Sequence Listing, Substitute Paper copy of Sequence Listing, Verified Statement regarding Substitute Sequence Listing, Copy of Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence And/Or Amino Acid Sequence Disclosures, re Application Serial No. 09/443,986 are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to: Hon. Commissioner of Patents and Trademarks, Washington, DC 20231 on this 15<sup>th</sup> day of May, 2001.

  
Marilou E. Watson



Application No.: 0143,986

# NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant must file the items indicated below within the time period set the Office action to which the Notice is attached to avoid abandonment under 35 U.S.C. § 133 (extensions of time may be obtained under the provisions of 37 CFR 1.136(a)).

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☒ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998).
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c)
- ☐ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☒ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☐ 7. Other: \_\_\_\_\_

## Applicant Must Provide:

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing"
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact

For Rules Interpretation, call (703) 308-4216

For CRF Submission Help, call (703) 308-4212

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